# STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS DEPARTMENT OF BUSINESS REGULATION DIVISION OF SECURITIES 233 RICHMOND STREET, SUITE 232 PROVIDENCE, RI 02903-4232

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## IN THE MATTER OF

### JUSTICE COMMUNICATIONS

### **CONSENT AGREEMENT**

I.

The Securities Division ("Division") of the Rhode Island Department of Business Regulation ("Department") enters into this Consent Agreement ("Agreement") to resolve concerns that Sections 5-53.1-7 (1), 5-53.1-7(9) and 5-53.1-8(a) of the Solicitation by Charitable Organizations Act (the "Act"), Chapter 5-53.1 et seq. of the Rhode Island General Laws, may have been violated by Justice Communications. The Division has determined to resolve this matter, after investigation but without instituting administrative proceedings, by entering into this Agreement.

II.

It is hereby agreed by and between the Division and Justice Communications that:

1. Justice Communications is a professional fundraiser with a principal place of business at 1006 Charles Street, Suites #2 and 3, North Providence, RI 02904.

2. At all times relevant to this Agreement, it has been unlawful for any person to act, operate or transact business as a professional fundraiser in this state without the benefit of registration in accordance with the Act.

3. It is the position of the Division that Justice Communications transacted business as a

1

professional fundraiser without benefit of registration, in violation of §5-53.1-8(a).

4. At all times relevant to this Agreement, it has been unlawful for a professional fundraiser to enter into any contracts with a charitable organization in Rhode Island without being registered in accordance with the Act.

5. It is the position of the Division that Justice Communications, without having registered, entered into a contract with Disabled Police Officers of America, a charitable organization, on August 24, 2007, for the period of August 24, 2007 through August 24, 2008, in violation of §5-53.1-7 (9).

6. At all times relevant to this Agreement, a professional fundraiser must submit contracts for services between itself and charitable organizations, within thirty (30) days of signing the agreement in accordance with the Act.

7. Justice Communications submitted an application for registration as a professional fundraiser to the division on October 17, 2007, omitting the names and addresses of company executives and other employees in violation of §5-53.1-7 (1).

8. Justice Communications submitted an application for registration as a professional fundraiser and failed to disclose materials facts, in violation of §5-53.1-7 (1).

#### III

Based on the foregoing, the Division finds that the following is in the public interest, appropriate for the protection of donors to charitable organizations and consistent with the purposes intended by the provisions of the Solicitation by Charitable Organizations Act.

Accordingly, it is hereby further agreed that:

1. Justice Communications shall immediately undertake to comply fully with the

2

Solicitation by Charitable Organizations Act §5-53.1 et seq;

2. Justice Communications shall upon signing the Agreement pay an administrative penalty in the amount of Five Hundred Dollars (\$500.00) to the Division.

3. Justice Communications will notify this Division of a start date and end date for all fundraising drives in Rhode Island.

4. Justice Communication will notify this Division as to the total amount of donations collected and provide financial documentation for all solicitation drives in Rhode Island.

5. Justice Communications will provide a listing of all officers, agents, and employees hired by said company.

6. Additional violations of the Solicitation by Charitable Organizations Act may be grounds for significant and substantial penalties such as revocation or suspension, administrative penalties up to one thousand dollars (\$1,000.00) per violation and the imposition of criminal and civil sanctions.

Dated as of the <u>26<sup>th</sup></u> day of <u>Novenkr</u>, 2007.

Maria Z OGlaly

Maria L. D'Alessandro, Associate Director and Superintendent of Securities of Securities

Justice Communications By: PATRICK D. KANE, Ur. Its Owner | President